Novel prodiginine derivatives demonstrate bioactivities on plants,

2 nematodes, and fungi

- 3 Samer S. Habash¹*, Hannah U. C. Brass², Andreas S. Klein², David P. Klebl², Tim Moritz
- Weber², Thomas Classen³, Jörg Pietruszka^{2,3}, Florian M. W. Grundler¹ and A. Sylvia S.
- 5 Schleker¹*

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- 6 ¹ INRES Molecular Phytomedicine, University of Bonn, Karlrobert-Kreiten-Straße 13, 53115
- 7 Bonn, Germany
- 8 ²Institute of Bioorganic Chemistry, Heinrich Heine University Düsseldorf located at,
- 9 Forschungszentrum Jülich, Stetternicher Forst, Building 15.8, 52426 Jülich, Germany
- ³IBG-1: Bioorganic Chemistry, Forschungszentrum Jülich GmbH, 52426 Jülich, Germany
- * Correspondence:
- 12 Dr. Samer S. Habash
- 13 samer@uni-bonn.de
- 14 ORCID ID: 0000-0002-4493-1451
- 15 Dr. A. Sylvia S. Schleker
- 16 sylvia.schleker@uni-bonn.de

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Abstract

- 19 Bacterial metabolites represent an invaluable source of bioactive molecules which can be used
- 20 as such or serve as chemical frameworks for developing new antimicrobial compounds for
- various applications including crop protection against pathogens. Prodiginines are tripyrrolic,
- 22 red-colored compounds produced by many bacterial species. Recently, due to the use of
- chemical-, bio- or mutasynthesis, a novel group of prodiginines was generated. In our study,
- 24 we perform different assays to evaluate the effects of prodigiosin and five derivatives on
- 25 nematodes and plant pathogenic fungi as well as on plant development. Our results showed
- 26 that prodigiosin and the derivatives were active against the bacterial feeding nematode
- 27 Caenorhabditis elegans in a concentration- and derivative-dependent manner while a direct
- effect on infective juveniles of the plant parasitic nematode *Heterodera schachtii* was observed
- 29 for prodigiosin only. All compounds were found to be active against the plant pathogenic fungi
- 30 Phoma lingam and Sclerotinia sclerotiorum. Efficacy varied depending on compound
- 31 concentration and chemical structure. We observed that prodigiosin (1), the 12 ring- (9), and
- hexenol (10) derivatives are neutral or even positive for growth of *Arabidopsis* depending on

- the applied compound concentration, whereas other derivatives appear to be suppressive. Our infection assays revealed that the total number of developed *H. schachtii* individuals on *A. thaliana* was decreased to 50 % in the presence of compounds **1** or **9**. Furthermore, female nematodes and their associated syncytia were smaller in size. Prodiginines seem to indirectly inhibit *H. schachtii* parasitism of the plant. Further research is needed to elucidate their mode of action. Our results indicate that prodiginines are promising metabolites that have the potential to be developed into novel antinematodal and antifungal agents.
- 40 **Keywords:** prodiginines, natural product, plant pathogens, plant protection, nematode

1 Introduction

- 42 Plant pests including animals (insect, mite, nematode) or pathogens (viruses, bacteria, fungi)
- are a major problem in crop production causing yield losses up to 60 % globally (Oerke, 2006).
- Animal pests including plant parasitic nematodes account for 18 % of these losses and 16 %
- are caused by microbial diseases. In order to minimize crop losses, chemical, biological, and
- 46 cultural means side by side with the use of resistant plants are management strategies in use
- 47 (Bridge, 1996; Habash and Al-Banna, 2011; Heydari and Pessarakli, 2010; Timper, 2014).
- 48 Each of these control means has its own challenges, but so far, pesticides are the fastest and
- 49 the most effective combat means utilized. However, due to environmental hazards and toxicity
- 50 to human many pesticides are being banned. Therefore, effective and sustainable alternatives
- 51 are needed.
- 52 Microbial metabolites represent a valuable source of compounds that can be used for
- 53 development of new drugs and plant protection agents. The bacterial prodiginines are nice
- 54 examples with a wide range of bioactivities and intensively used in drug discovery research
- 55 (Darshan and Manonmani, 2015). Prodiginines are tripyrrolic dark red compounds produced
- as secondary metabolites by many bacterial species within *Serratia* (Williams et al., 1956),
- 57 Streptomyces (Gerber, 1975; Kawasaki et al., 2008), Hahella (Kim et al., 2007), and Vibrio
- 58 (Starič et al., 2010), for instance. The family of prodiginines comprises diverse members (as
- shown in Figure 1), some having linear alkyl side chains such as prodigiosin (1) and
- 60 undecylprodigiosin (2) while others have cyclic moieties such as cycloprodigiosin (3),
- 61 metacycloprodigiosin (4), or streptorubin B (5) (Hu et al., 2016; Stankovic et al., 2014;
- Williamson et al., 2006). In pharmaceutical studies, prodiginines were often used for their
- antimalarial and antitumor activities (Papireddy et al., 2011; Pérez-Tomás et al., 2003). They
- also exhibit inhibitory activities on various microbes. For example, Escherichia coli exhibited

a leaky outer membrane and cells had severely decreased respiration activity upon exposure to prodigiosin (1) (Danevčič et al., 2016). Likewise, treating *Pseudomonas aeruginosa* with prodigiosin (1), isolated from *Serratia marcescens*, altered the cell surface hydrophobicity, and biofilm integrity significantly. The treatment also caused nucleic acid degradation of *P. aeruginosa* (Kimyon et al., 2016). Recently also investigations with respect to applications in food research were reported including use as coloring agent, antioxidant, and antimicrobial additive to increase the products shelf life (Arivizhivendhan et al., 2018).

In agricultural research, activities of prodigiosin (1) against several plant pathogens are described. It was shown that prodigiosin (1) totally inhibited spore germination of *Botrytis cinerea*, the causal agent of gray mold (Someya et al., 2001). The red supernatant of *Serratia marcescens* was found to be effective against juvenile stages of the plant parasitic nematodes *Radopholus similis* and *Meloidogyne javanica* at low concentrations of 83 and 79 mg/mL, respectively, and inhibited nematode egg-hatching ability. After deep analysis of the bioactive red supernatant, prodigiosin (1) was detected in the supernatant (Rahul et al., 2014). All these evidences support the potential of the red pigment prodigiosin (1) to be applied in several fields.

Both, the use and bio- or chemical-based derivatization of prodiginines increase the amount of available compounds and have the potential to contribute to an improved microbe resistance management. Recently, nature inspired prodiginines were produced by combining organic syntheses with a mutasynthesis approach using the HV1-certified bacterium *Pseudomonas* putida KT2440 as host strain (Klein et al., 2017, 2018). The previously constructed mutasynthesis strain harbors the prodigiosin (1) gene cluster from S. marcescens, but the bifurcated biosynthetic pathway is interrupted, thus producing only one out of two prodiginine building blocks(Domröse 2015, Klein 2017). The other precursor and especially derivatives thereof are chemically synthesized and fed during cultivation, enabling the production of new and non-natural prodiginines The obtained compounds possessed antibacterial activities against several species with minimum inhibitory concentrations (MIC) ranging from 0.1-12 µM. In the same studies, they demonstrated that the produced prodiginines exhibit modulating activities of autophagy in human breast cancer cells. The novel compounds with such bioactivities could be good candidates to be used against plant pathogens. Their attraction is increased by the fact that prodiginines act on microorganisms in a mode different compared to conventional pesticides.

- In our study we present the bioactivities of prodigiosin (1), several cyclic derivatives 6–9 and
- 97 a hydroxylated prodiginine 10 against different organisms, particularly focusing on
- 98 *H. schachtii*. First, we examined the bioactivities of prodiginines on two nematode species
- belonging to bacterial feeding and plant parasitic nematodes. We further investigated the effect
- of the compounds on plant growth using the model plant A. thaliana and subsequently
- evaluated the efficacy of selected compounds in combating nematode parasitism in the
- pathosystem A. thaliana H. schachtii. Finally, we tested the bioactivities of prodiginines on
- other pathogens by using two species of plant pathogenic fungi.

104 2 Material and methods

105 **2.1 Prodiginine production**

2.1.1 Production of prodiginines 1, 6–9 *via* biosynthesis or mutasynthesis

- 107 Prodigiosin (1) was produced by using the strain P. putida pig-r2 as previously described
- 108 (Domröse et al., 2015). The prodiginine derivatives 6–9 (Figure 2) were produced via
- mutasynthesis as previously described (Klein et al., 2017, 2018). For details, see supplementary
- 110 material.

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111 2.1.2 Chemical synthesis of prodiginines

Synthesis of **10** is described in detail in supplementary material.

113 2.2 Nematode culture

- The wild type of the bacterial feeding nematode *C. elegans*, used in this work, was maintained
- on nematode growth medium (NGM) and was fed with E. coli strain OP50. In the toxicity assay
- the synchronized first stage nematodes were used (Al Banna et al., 2018). The plant parasitic
- nematode *H. schachtii* Schmidt used in the experiments was reared on white mustard (*Sinapis*
- alba L. cv. Albatros) plants which were grown aseptically on 0.2 % Knop agar medium. Mature
- cysts were collected in funnels and hatched in 3 µM ZnCl₂ (Sijmons et al., 1991). The hatched
- pre-parasitic J2s were collected and used in the experiments.

2.3 Effect of prodigiosin derivatives on nematodes

- To investigate the effect of prodigiosin derivatives on nematode motility, two nematode species
- were used. Both nematodes, C. elegans and H. schachtii, were challenged with the selected
- compounds 1, 6-10. The activity experiment was conducted in 96 well plates (Greiner Bio-
- One) under aseptic conditions. Each well contained 90–100 nematode incubated in 60 μL of

the compound-containing test solution. The used dilutions were 50, 25, 12.5 and 6.25 µM dissolved in 0.5 % (v/v) dimethyl sulfoxide (DMSO) diluted in ddH₂O. Nematodes soaked in 0.5 % (v/v) DMSO served as control. Plates were incubated for two days at 24 °C in the dark. Numbers of active (moving) and inactive (not moving) nematodes were evaluated using a dissecting microscope. Nematode movement was provoked by adding 1 M sodium hydroxide to check activity. The percentages of nematode mortality were calculated. The experiment was set up in three biological replications (each contains three wells per concentration). Data were collected and statistically analyzed for significance using t-test. To decide whether H. schachtii J2s are really killed or just paralyzed by prodigiosin (1), J2s were washed free of the compound after a 48 h incubation period and subsequently left in water for another 48 h. This was necessary as H. schachtii J2s were still slightly moving after incubation in 1 and therefore making a reliable decision by challenging them with sodium hydroxide difficult.

2.4 Plant growth test

In order to investigate the effect of prodiginines on plants, *A. thaliana* ecotype Col-0 was grown aseptically on agar medium supplemented with modified 0.2 % Knop solutions at 16 h light and 8 h dark at 25 °C as described previously (Sijmons et al., 1991). Five-days-old plants were transferred to 6-well plates containing 2 mL liquid Murashige and Skoog medium (MS) supplemented with the prodiginines 1, 6-10 at different concentrations (50, 25, 12.5 μM), MS medium supplemented with 1 μM bacterial flagellin (flg22) or with 0.5 % (v/v) DMSO were used as controls. Fresh weight of the roots and the shoots were measured after 15 days of incubation. The experiments were performed in three technical replicates and independently repeated three times. Data were statistically analyzed using *t*-test.

2.5 Infection assay

In order to investigate the impact of prodigiosin derivatives on nematode parasitism, prodigiosin (1) and prodiginine 9 were selected to be tested. The compounds and the used concentration were chosen based on the previous results of the plant development assay. Compounds were added to the 0.2 % Knop agar medium to yield a final concentration of 14 μM. *A. thaliana* Col-0 plants were grown aseptically on the medium with 16 h light and 8 h dark at 25 °C as described previously (Sijmons et al., 1991). The infection assay with *A. thaliana* plants was performed as described previously (Habash et al., 2017). Briefly, roots of 10 days old seedlings were inoculated with 60–70 *H. schachtii* J2s per plant. Twelve days after inoculation (DAI), numbers of adult males and females were counted per plant.

- Furthermore, sizes of females and associated syncytia were measured after 13 DAI using Leica
- 159 M165C Binocular (Leica Microsystems, Wetzlar, Germany) and Leica Application Suite
- software. A. thaliana plants grown on 0.2 % Knop agar medium supplemented with 0.5 % (v/v)
- DMSO were used as control. Experiments were repeated three times and analyzed using *t*-test.
- Each experiment, consisted of 12 plants per treatment.

2.6 Effect of prodigiosin derivatives on plant pathogenic fungi

- The plant pathogenic fungi *P. lingam* and *S. sclerotiurum* were used in this study to evaluate
- the bioactivity of the compounds on hyphal growth. Both isolates were obtained from the
- Leibniz-Institut DSMZ (Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH,
- 167 Germany) and subcultured on potato dextrose agar (PDA) at 24 °C. To test prodiginine
- bioactivities, PDA was supplemented with the chemicals to several final dilutions. The used
- concentrations were 50, 25 and 12.5 µM. Fungal discs were cut from the culture media and
- placed in the middle of PDA plates containing the chemicals. PDA plates with 0.5 % (v/v)
- DMSO alone were used as control. All plates were incubated for 7 days at 24 °C. Subsequently,
- the diameter of the fungal colony was measured, and inhibition percentage was calculated.
- Differences between the treatments were statistically analyzed using *t*-test.

174 **3. Results**

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3.1 Nematicidal activity is dependent on prodiginine structure, concentration and

176 nematode species

- 177 The bioactivity of prodigiosin (1) and the derivatives 6–10 was determined using two
- 178 representative soil nematodes with different feeding behavior, the bacterial feeding model
- nematode *C. elegans* and the plant parasitic nematode *H. schachtii*. Mortality varied between
- the two tested nematodes in response to exposure to prodiginines. Results reveal that the impact
- of the tested compounds depends on prodiginine structure and concentration (Figure 3).
- Prodigiosin (1) showed the highest nematicidal activity against C. elegans juveniles with
- 183 $0.127 \,\mu\text{M}$ being the effective concentration that killed half of the treated nematodes (EC₅₀).
- This was followed by $7 (35.9 \,\mu\text{M})$, $8 (79.1 \,\mu\text{M})$, $9 (161.5 \,\mu\text{M})$, $6 (213.3 \,\mu\text{M})$ and lastly $10 (217.4 \,\mu\text{M})$
- 185 µM). The compounds were much less effective against *H. schachtii* J2s. In fact, prodigiosin (1)
- was the only derivative able to temporally cause immobility of the second stage juveniles with
- an EC₅₀ value of 13.3 μ M, while the other derivatives had very high EC₅₀ values starting from
- 188 1.3 μM (Table 1, Figure S1).

3.2 Effect of prodigiosin (1) and derivatives 6-10 on plant growth

- The effect of prodiginines on plant growth was tested in order to obtain a suitable concentration for the subsequent infection assay and to get an indication which compound could be best suited for a possible use as plant protection agent in agricultural applications. Therefore, shoot weight and root weight were determined. The applied concentrations between 12.5 and 50 µM of the derivatives 6 and 8 inhibited plant growth as shoot weight was decreased by more than 70 % compared to the DMSO control. Compound 7 was also detrimental for the plant but less severe. Cultivating the plants in 25 and 50 µM significantly decreased plant shoot weight, whereas the impact of 12.5 µM was not significant compared to the DMSO control. On the other hand, incubating plants in prodigiosin (1, 12.5 µM) and derivative 10 (12.5 and 25 µM) increased shoot weight by up to 70 %. Higher concentrations of both derivatives had no significant effect. All concentrations of 9 had no significant effect on shoot weight compared with the control (Figure 4).
- Comparable effects of the compounds on root weight could be observed. Compound 10 was highly promoting root growth at concentrations of 25 or 12.5 µM. Prodigiosin (1) treatment was neutral except for the 50 µM concentration were root weight was significantly reduced. Root weight was decreased significantly when plants were incubated in 50 µM of all other derivatives. The effect was less severe when the concentration was decreased to 25 or 12.5 µM but still root weight was significantly decreased compared to the control with the exception of 9, where this inhibitory effect was demolished when plants were incubated in 12.5 µM.

3.3 Prodiginines inhibit nematode parasitism

- Due to the observed neutral to positive effect of prodiginines on plant growth and compound availability, **1** and **9** were selected to investigate their effect on *H. schachtii* parasitism of *A. thaliana*. A final concentration of 14 µM of each molecule was incorporated into the plant growth medium. Medium mixed with DMSO in a final concentration of 0.5 % was used as control. Results showed that the number of developed females and males was significantly decreased on the plant roots in presence of compound **1** or **9** compared with the control (Figure 5). The number of females was decreased by up to 60 %, while the males were up to 42 % less in the treatments compared to the control. In total, a reduction of up to 50 % in total infection was observed when plant roots are exposed to prodigiosin (**1**) or the derivative **9**.
- Furthermore, the size of developed females and their associated syncytia were measured in order to investigate nematode development. Both, developed females and their associated

syncytia were smaller in size compared with the control in the presence of compound **1** or **9** in the medium. The female size reached 77 and 80 % of that of the females of the control in presence of prodigiosin (**1**) and **9**, respectively. The inhibitory effect on syncytium development was higher as the average size determined was 60 and 75 % of the size in the control in presence of prodigiosin (**1**) and **9**, respectively (Figure 5).

3.4 Bioactivities against plant pathogenic fungi

We further investigated the bioactivity of prodiginines against the two plant pathogenic fungi *P. lingam* and *S. sclerotiorum*. Both fungi share host plants with the *H. schachtii*. Besides observing a possible antifungal activity, we aimed at getting an indication whether the changes in bioactivity documented for the structurally different prodiginines against nematodes follow a similar pattern in their activity against fungi. The two fungi responded differently to direct compound exposure and the degree of inhibition of hyphal growth varied with the prodiginine and concentration used (Figure 6). All tested derivatives significantly inhibited the hyphal growth of *P. lingam* at the applied concentrations except when the fungus was cultured on medium containing 12.5 μM of compound 10, which had the lowest inhibitory activity on hyphal growth (MIC₅₀ 45.9 μM). The other derivatives were more effective and possessed lower MIC₅₀ with values of 25.4, 20.9, 19.9, 18.6, and 14.2 μM for the prodiginines 7, 9, 8, 6 and 1, respectively (Table 2).

S. sclerotiorum was less sensitive towards the used prodiginines. All compounds inhibited hyphal growth except derivative **10**, even when 50 μM was used (Figure 6b). Fungal growth varied depending on the tripyrrol applied and ranged from 44 to 95 % compared to the control. The MIC₅₀ values were higher in case of S. sclerotiorum compared to P. lingam and were between 48.9 and 322.9 μM (Table 2).

4. Discussion

Management of plant pathogens in agricultural crop production is indispensable, no matter whether organic, integrated or conventional farming practices are applied. In particular, control of plant parasitic nematodes is extremely challenging. Rising public health concerns about pesticides harmful for the environment led to several effective compounds being banned from the market. This in return, limited the pathogen management options of the farmer during production processes. These reasons urge to find environmentally safe and sustainable alternatives to control pathogens and ensure yield and food quality.

Naturally occurring bacterial metabolites represent a valuable source of active compounds that can be used in different applications including pathogen control. In the last decade, several studies investigated these potential compounds (Pathma et al., 2011; Schrader et al., 2010; Zhai et al., 2018). Prodigiosin (1) which is a tripyrrolic red-colored pigment produced by many bacterial species got attention due to its bioactivities and was intensively studied (Darshan and Manonmani, 2015; Yip et al., 2019). Derivatization of compounds is one of the important tools to increase the availability as well as the activity of compounds. Various structure activity studies have already proven that variation of the prodiginine structure leads to different biological activities (D'Alessio et al., 2000; Kancharla et al., 2015; Marchal et al., 2014a, 2014b; Papireddy et al., 2011). Synthetic biology is one of the approaches that helps this process and provides the tools necessary for applying mutasynthesis or biotransformation concepts for a controlled production of prodiginines applying in a safe heterologous bacterial host (Klein 2017, Klein 2018). Also biocatalysis approaches employing only the final enzyme of the prodigiosin (1) gene cluster and chemically synthesized precursorscan lead to new prodiginine structures (Brass et al., 2019).

In our current study, we introduce several lines of results showing the potential of prodigiosin (1) and several derivatives including a new prodiginine with a terminal hydroxy group adjacent to an allylic double bond and their bioactivities towards several organisms including nematodes, fungi and plant. We first tested all compounds against the model nematode *C. elegans* and the plant parasitic nematode *H. schachtii* in direct exposure assays. The nematode *C. elegans* is frequently used in studies involved in investigating compounds' bioactivities against multicellular organisms (Al Banna et al., 2018; Liao, 2018; Sun et al., 2017). The nematode *H. schachtii* is one of the devastating pathogens of several economically valuable crops (Muller, 1999; Steele, 1965). We observed that all derivatives are nematicidal

for C. elegans while basically only the lead structure prodigiosin (1) is static for H. schachtii J2s. The nematicidal activity of the compounds towards C. elegans is clearly dependent on the molecule structure and the concentration with compounds 6, 9, and 10 having the lowest efficacy. The difference in compound activity towards the two nematode species could be due to differences in biology and feeding habit. This could be attributed to the wider mouth part of C. elegance which is an open canal that allows to uptake compounds while in H. schachtii, the uptake through the mouth is controlled by the spare-like stylet and only during feeding. Same results were demonstrated when both, C. elegans and Meloidogyne incognita, were exposed to the nanoparticles of silicon carbide. C. elegans was much more sensitive and exhibited high mortality as well as accumulation of the nanoparticles in the body after the exposure, while very low mortality and particle accumulation were observed in case of *M. incognita* (Al Banna et al., 2018). Furthermore, the two related nematodes, H. schachtii and Globodera rostochiensis, behaved differently upon exposure to exogenous application of several amino acids. In these studies, G. rostochiensis was shown to be sensitive to the application of methionine while no effect was observed in case of *H. schachtii* (Blümel et al., 2018; Evans and Trudgill, 1971). Both evidences support the hypothesis that different nematode anatomy and biology affect the nematodes' sensitivity towards compounds. The nematicidal activities of prodigiosin (1) itself was also observed previously. It was shown that the survival of juvenile stages of Radopholus similis and Meloidogyne javanica was affected after treatment with the red pigment extracted from *S. marcescens*. The effect was different between the two nematodes represented by the LC₅₀ (lethal concentration) value of 83 and 79 mg/mL, for R. similis and M. javanica, respectively. After deep analysis of the pigment using several liquid chromatography approaches and spectroscopic analysis, they confirmed the presence of prodigiosin as a bioactive metabolite (Rahul et al., 2014). All these findings support our results and show that different nematode species could behave differently due to exposer to compounds. In order to introduce such compounds as agrochemicals, the effect on the plant has to be tested.

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In order to introduce such compounds as agrochemicals, the effect on the plant has to be tested. No previous work was done studying the effect of prodiginines on plant development. Here, we report the effect of prodigiosin (1) and derivatives on plant growth of the model plant *A. thaliana* for the first time. *Arabidopsis* growth was different between treatments and was depending on concentration and prodiginines structure. We observed that prodigiosin (1) and the 12-ring derivative 9 are neutral for plant growth when low concentrations are applied. In case of the prodiginine 10, plant growth was even highly promoted at low concentrations.

Based on the plant growth experiment results and compound availability, prodigiosin (1) and the 12-ring derivative (9) were chosen and a proper concentration was defined to be used to test the effect of the molecules on nematode parasitism on *A. thaliana*. The presence of both compounds in the plant growth medium affected *H. schachtii* parasitism and decreased the total number of adult nematodes per plant up to 50 %. Furthermore, the compounds affected nematode development which is reflected by the smaller developed females and associated syncytia. The fact that the compounds have no direct nematicidal effect on the nematode but still decreased parasitism can be explained by the indirect effect through affecting the host plant. Similar observations were reported when no direct effect was detected after incubating J2s of *H. schachtii* in amino acids but still decreased parasitism when amino acids were integrated in the growth medium (Blümel et al., 2018). The logical explanation of such an outcome is that the presence of the compounds in the growth medium is activating plant defense responses against the nematode.

We tested the bioactivities of the prodiginines against two plant pathogenic fungi. Both fungi can infect the same host plants as *H. schachtii*, thus a double impact of the compounds would increase their value. Our results showed that the direct effect of the prodiginines against the fungi *P. lingam* and *S. sclerotiorum* was even stronger compared to nematodes. The hyphal growth of both tested fungi was affected by the presence of prodigiosin (1) and derivatives (6-10) in the growth medium. Earlier, it was shown that prodigiosin (1) is active against several fungi and oomycetes. The purified prodigiosin (1) from *S. marcescens* F-1-1 inhibited the germination of cystospores and the growth of hyphae of *Phytophthora capsici*. It also appeared to be active against the plant pathogenic fungi *Cochliobolus miyabeanus*, *Pythium spinosum* and *P. ultimum* (Okamoto et al., 1998). According to our results, hyphal growth of *P. lingam* was affected more than that of *S. sclerotiorum*. Such a difference is dependent on fungal species and also demonstrated by previous studies. Chen et al. (2014) presented that the novel fungicide 3-[5-(4-chlorophenyl)-2,3-dimethyl-3-isoxazolidinyl] pyridine (SYP-Z048) affected several pathogenic fungi and the effect was variable between the tested fungi.

Comparing the results of the assays, we show that prodigiosin (1) is the most effective and derivative 10 is the least effective obviously reflecting the variability in compound sensitivity between different organisms. Particularly, *P. lingam* appears to be very sensitive to all compounds whereas *H. schachtii* only responded to prodigiosin (1). Interestingly, the compounds' impact on plant development does not completely follow the same trend as seen for nematodes and fungi. Although plant growth promotion is highest for the prodiginine with

- the overall lowest activity against nematodes and fungi (compound 10), prodigiosin (1) is
- neutral for plant growth depending on the concentration applied.
- Compound 9 is a very good example that structural modification of a bioactive lead structure
- can guide compound activity towards desired properties. The lead structure 1 and derivative 9
- have a comparable impact on plant development (neutral) and on parasitism of *H. schachtii* on
- A. thaliana (inhibition). Intriguingly, 1 is highly toxic for C. elegans, which is a representative
- of non-target organisms, whereas **9** does not affect this nematode's vitality at concentrations
- suitable to control *H. schachtii* and *P. lingam*, which are two important pathogens on sugar
- 350 beet.

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- Our current results demonstrate the bioactivities of prodigiosin (1) and its derivatives (6-10)
- against plant pathogenic fungi and parasitic nematodes and thereby introduce new natural
- compounds that have the potential to be developed into novel plant protection agents. Further
- detailed investigation is needed to unravel the mode of action as well as to test such compounds
- under field conditions.

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6 Author Contributions

- ASSS, FMWG, JP, TC, and SSH conceived the research concept and designed the experiments.
- 361 SSH performed the assays on plants, nematodes, and fungi. HUCB, ASK, DPK, TMW
- produced and synthesized the used prodiginines. SSH drafted the manuscript with input from
- all authors. All authors reviewed and approved the final manuscript.

8 Conflict of Interest

- 365 The study was the basis for a patent application. Patent applicant: Forschungszentrum Juelich
- 366 GmbH; inventors: Samer S. Habash, Hannah U. C. Brass, Andreas S. Klein, David P. Klebl,
- Tim Moritz Weber, Thomas Classen, Jörg Pietruszka, Florian M. W. Grundler and A. Sylvia
- 368 S. Schleker; application number: 10 2020 116 516; status: examination phase.

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- body had no role in the design of the study and collection, analysis, and interpretation of data
- or in writing the manuscript.

Data Availability Statement

- All data generated or analyzed during this study are included in this published article [and its
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- **Figures legends and tables:**
- 510 Figure 1. Examples of natural prodiginines: prodigiosin (1), undecylprodigiosin (2),
- 511 cycloprodigiosin (3), metacycloprodigiosin (4), butyl-meta-cycloprodigiosin (5).
- Figure 2. Chemical structure of prodigiosin (1) and the derivatives 6-10 that were
- 513 investigated in this study.
- Figure 3. Direct effect of prodigiosin (1) and derivatives 6–10 on C. elegans first stage
- juveniles. A) compound 1, B) compound 6, C) compound 7, D) compound 8, E) compound 9
- and F) compound 10. Values are means and standard error of three biological replicates
- 517 (n=12). Asterisk marks indicate significant differences based on t-test (P < 0.05).
- Figure 4. Effect of prodigiosin (1) and derivatives 6–10 on A. thaliana growth. Figures
- show growth parameters representing development of A. thaliana cultivated on media mixed
- with prodigiosin (1) or different derivatives (6-10) in three concentrations compared with those
- 521 growing on medium mixed with DMSO as control. Flg22 (1 µM) treated plants served as
- controls for successful growth inhibition. (A) Average fresh shoot weight (g). (B) Average
- fresh root weight (g). Data are based on three independent experiments. Each bar represents
- the mean \pm standard error of n = 12. Asterisk marks indicate significant differences based on t-
- 525 test (P < 0.05).
- Figure 5: Effect of prodigiosin (1) and derivative (9) on H. schachtii parasitism of
- 527 A. thaliana. Plants were grown on Knop's agar mixed with prodigiosin (1) and derivative (9)
- and were infected with J2s of *H. schachtii*. Susceptibility parameters were (A) average
- percentage of females, males and total nematodes per plant compared with control and (B)
- average percentage of sizes of females and syncytia at 13 DAI. Plants grown on medium mixed
- with DMSO (0.5 % final concentration) were used as control. Data represent three independent
- experiments. Bars indicate standard errors of the mean values n>35. Asterisks indicate
- significance compared with control according to t-test (P<0.05).
- Figure 6: Effect of prodigiosin (1) and derivatives 6–10 on fungal hyphal growth. Figures
- show percentage of hyphal growth of the plant pathogenic fungi cultivated on media mixed
- with prodigiosin (1) or the derivatives 6-10 in three concentrations compared with those
- cultivated on medium mixed with DMSO as control. (A) P. lingam. (B) S. sclerotiorum. Data

are based on three independent experiments. Each bar represents the mean \pm standard error of n = 12. Asterisk marks indicate significant differences based on *t*-test (P < 0.05).

Table 1. Direct effect of prodigiosin (1) and derivatives 6–10 on *H. schachtii* second stage juveniles. Values are means and standard error of three biological replicates (n=12). EC₅₀ was calculated using the Probit analysis (Finney 1952).

| Compound | Concentration (µM) | Average mortality (%) | SE | EC ₅₀ (μM) | |
|-------------|--------------------|-----------------------|-----|-----------------------|--|
| | DMSO | 29.0 | 2.5 | | |
| | 6.25 | 45.0 | 6.2 | | |
| | 12.5 | 61.3 | 3.1 | | |
| (1) | 25 | 83.7 | 0.6 | 13.25 | |
| | 50 | 93.8 | 1.0 | | |
| | 100 | 96.9 | 0.6 | | |
| | DMSO | 1.0 | 0.7 | _ | |
| | 6.25 | 2.8 | 0.8 | | |
| | 12.5 | 2.8 | 0.1 | | |
| (6) | 25 | 1.4 | 0.2 | 18470 | |
| ` , | 50 | 1.3 | 0.1 | | |
| | 100 | 9.3 | 1.3 | | |
| (7) | DMSO | 2.2 | 1.0 | | |
| | 6.25 | 2.7 | 0.6 | | |
| | 12.5 | 2.0 | 0.7 | | |
| | 25 | 2.0 | 0.3 | 1315 | |
| . , | 50 | 2.3 | 0.4 | | |
| | 100 | 10.4 | 1.3 | | |
| | DMSO | 1.2 | 1.0 | | |
| | 6.25 | 2.1 | 0.6 | | |
| | 12.5 | 1.8 | 0.9 | | |
| (8) | 25 | 1.8 | 0.5 | 78086 | |
| (0) | 50 | 1.4 | 0.3 | | |
| | 100 | 6.0 | 1.9 | | |
| | DMSO | 1.4 | 0.4 | | |
| (9) | 6.25 | 1.9 | 0.4 | | |
| | 12.5 | 1.8 | 0.7 | | |
| | 25 | 1.7 | 0.8 | 125421 | |
| | 50 | 2.2 | 1.1 | | |
| | 100 | 5.4 | 0.5 | | |
| | DMSO | 1.0 | 0.9 | _ | |
| (10) | 6.25 | 1.3 | 0.3 | | |
| | 12.5 | 1.6 | 0.8 | | |
| | 25 | 2.0 | 1.2 | 1602 | |
| | 50 | 5.2 | 2.8 | | |
| | 100 | 10.0 | 4.7 | | |

Table 2: Minimum inhibitory concentration (MIC₅₀) values of prodigiosin (1) and the derivatives 6–10 against different organisms. MIC₅₀ was calculated using the Probit analysis (Finney 1952).

| Organism _ | Prodiginine– MIC ₅₀ (μM) | | | | | | |
|-----------------|-------------------------------------|-------|------|-------|--------|-------|--|
| <i>6</i> | (1) | (6) | (7) | (8) | (9) | (10) | |
| H. schachtii | 13.3 | 18470 | 1315 | 78086 | 125421 | 1602 | |
| C. elegans | 0.1 | 213.3 | 35.9 | 79.1 | 161.5 | 217.4 | |
| P. lingam | 14.2 | 18.6 | 25.4 | 19.9 | 20.9 | 45.9 | |
| S. sclerotiorum | 53.3 | 126.6 | 62.8 | 48.9 | 322.9 | NC | |